

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If Known, see 37 CFR 1.5)

10/069760

INTERNATIONAL APPLICATION NO.
PCT/EP00/04697INTERNATIONAL FILING DATE
23 May 2000PRIORITY DATE CLAIMED
31 August 1999TITLE OF INVENTION
ELECTRIC PLUG CONNECTOR

APPLICANT(S) FOR DO/EO/US

Gerhard Schwarz, Reiner Naumann, Helmut Deschner, Theo Grimm, Gerd Hofmann and Dieter Sauer

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other documents or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 and Form PTO-1449 w/references (3).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - ☒ Serial number card (for return)
 - ☒ PCT Request as filed (Form PCT/RO/101 – 5 pages)
 - ☒ PCT Request as received by EPO (Form PCT/RO/101 – 5 pages)
 - ☒ Chapter II Demand (Form PCT/IPEA/401 – 4 pages)
 - ☒ PCT Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (PCT Rule 47.1(c), first sentence) (Form PCT/IB/308 – 1 page)
 - ☒ Request for International Preliminary Examination (Form PCT/IPEA/409 – 4 pages)

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INTERNATIONAL APPLICATION NO.
PCT/EP00/04697ATTORNEY'S DOCKET NUMBER
08215-517US1

17. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... **\$1040**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$890**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... **\$740**

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... **\$710**

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100**

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY****JC19 Rec'd PCT/PTO 28 FEB 2002**

Surcharge of **\$130** for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

Claims	Number Filed	Number Extra	Rate		
Total Claims	25 - 20 =	5	x \$18	\$90.00	
Independent Claims	1 - 3 =	0	x \$84	\$0.00	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$280	\$0.00	

TOTAL OF ABOVE CALCULATIONS =

\$1110.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are
reduced by 1/2.

\$0.00

SUBTOTAL =

\$1110.00

Processing fee of **\$130** for furnishing the English Translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f))

\$0.00

TOTAL NATIONAL FEE =

\$0.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$0.00

TOTAL FEES ENCLOSED =

\$1110.00

**Amount to be
refunded:**

\$

Charged:

\$

- a. ☒ A check in the amount of \$1110.00 to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. 06-1050 in the amount of \$0.00 to cover the above fees. A duplicate
copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 06-1050. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive
(37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

John F. Hayden
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601 Thirteenth Street, NW
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(202) 783-5070 phone
(202) 783-2331 facsimile

SIGNATURE:

NAME

John F. Hayden

REGISTRATION NUMBER

37,640